

EXHIBIT 2.15: HISTORICAL RESOURCES COMPLIANCE REPORT FORMAT AND CONTENT GUIDE

Caltrans uses the Historical Resources Compliance Report (HRCR) for projects and activities without federal involvement, as defined in [Chapter 2](#). The HRCR combines identification, evaluation, impact assessment, and mitigation into a single document.

Caltrans policy is to use the instructions outlined in the attachments to the Section 106 Programmatic Agreement (Section 106 PA) in defining professionally qualified staff ([Section 106 PA Attachment 1](#)), defining screened projects and activities ([Section 106 PA Attachment 2](#)), setting project area limits ([Section 106 PA Attachment 3](#)), defining resources exempt from evaluation ([Section 106 PA Attachment 4](#)), establishing Environmentally Sensitive Areas ([Section 106 PA Attachment 5](#)) and developing data recovery plans ([Section 106 PA Attachment 6](#)).

GENERAL HRCR FORMAT

The HRCR may either follow a narrative format or the “short form” format included at the end of this exhibit. The HRCR short form is available in electronic format through the Headquarters Cultural & Community Studies Office and on-line at the Division of Environmental Analysis website. Either the short-form HRCR or a narrative format HRCR may be used, but it is recommended that the narrative format be used when a project is large, complex or controversial. Whichever format is used, be sure to include the following information as necessary in the appropriate HRCR:

TITLE PAGE

The title page identifies the Caltrans project by:

- Name and type of investigation
- County, route and post-miles, and kilometer post
- Expense Authorization

HRCR FORMAT

- Title page
- Summary of Findings (not included on short form)
- Project Description
- Public Participation
- Summary of Identification Efforts
- Resources Identified
- Impact Statement
- Mitigation Plan
- Attachments
- Maps
- DPR 523 Forms
- Other Attachments

Don't forget the transmittal memo to SHPO for state-owned resources.

The page also includes

- Name, title, location and signature of the Caltrans District Branch Chief (DEBC) for whom the report was prepared. The DEBC's signature on the title page indicates approval and acceptance of the document
- Name, title and signature of the Caltrans Professionally Qualified Staff (PQS) or consultant PQS who prepares the report, along with his/her address
- Name, title and signature of the Caltrans Professionally Qualified Staff (PQS) who reviews the report for approval, along with his/her address
- Month and year HRCR was prepared (appears at bottom of page) If a consultant PQS prepares the HRCR, the project contract number should appear below the Expense Authorization number.

If the HRCR short form is used the title page is not necessary. The signatures appear at the end of the short form.

SUMMARY OF FINDINGS (MANAGEMENT SUMMARY)

This section contains all the information necessary for a casual reader to understand the intent, methods and results of the study. It includes:

- A concise description (abstract) of the proposed project
- Purpose and scope of the investigation
- Results of the investigation
- Dates of fieldwork
- Statement regarding the number of cultural resources within the Project Area that were examined and whether each appears eligible for the National Register or is a historical resource for purposes of CEQA
- If there are state-owned resources within the Project Area, statement requesting the State Historic Preservation Officer's (SHPO) comments under the relevant section of Public Resources Code ([PRC](#)) [§5024](#). See Chapter 2, [Section 2-9.7](#) for details.

If there are historical resources, this section needs to include a concise summary paragraph for *each* eligible resource that includes

- The name and location of the resource
- Applicable criteria
- Period and level of significance
- Verbal description of the historical resource boundaries

If the HRCR short form is used it is not necessary to include a summary of findings.

PROJECT DESCRIPTION

Included in this section is a discussion of the nature and scope of the project:

- Scope of the proposed work, including project components (left-turn lane widening, addition of passing lane, the need for new right-of-way, rehabilitation, maintenance, new additions, transfer out of Caltrans ownership, etc.)
- Environment, including whether the project lies in an urban or rural area
- Nature and purpose of the study relative to state regulations
- Citation of all pertinent maps (Project Vicinity, Project Location, Project Area limits, etc.)

For highway projects details of the proposed project should be limited to the major design features. Define the Project Area limits. Attach a map delineating the Project Area limits.

PUBLIC PARTICIPATION

Summarize coordination efforts and public comments received to date. If the project is simple, limit these to contacts (including form letter notifications) with local historical societies, Native Americans, governments, or other interest groups. For projects involving Negative Declarations (ND) and Environmental Impact Reports (EIR) public involvement may include map displays or public hearings. Describe CEQA public involvement events that are expected as well as those that have occurred.

DESCRIPTION OF IDENTIFIED CULTURAL RESOURCE(S)

Summary of Identification Efforts

Include inventories, facilities, and persons consulted: at a minimum, the following:

- National Register of Historic Places and updates;
- California Register of Historical Resources;
- California Inventory of Historic Resources;
- California Historical Landmarks;

- The appropriate Information Center of the California Historical Resources Information System.

Note also any other sources (human or archival) used, such as county assessors records, historical society or museum archives, interviews or oral histories taken from property owners or old-timers, etc. Describe the results of the research.

List of Identified Cultural Resources

List cultural resources that were identified within the Project Area, and provide pertinent information for each of them. Include concise but sufficient background and contextual information about the project area so that the reader can understand the significance (or lack of significance) of the evaluated resources. Be sure to include summary information about National Register eligibility and whether a resource is a significant resource under CEQA.

Include, as appropriate:

- a) Historic properties listed in the National Register.
- b) Historic properties previously determined eligible or not eligible for the National Register.
- c) Resources that Caltrans has determined to meet National Register criteria, referring to eligibility evaluations in attached supporting documentation.
- d) Properties that Caltrans has determined meet National Register criteria but for which further study is needed because evaluation was not possible (e.g., archaeological sites that require a test excavation to determine eligibility).
- e) Resources Caltrans has determined do not meet National Register or California Register criteria, referring to relevant attached supporting documentation.
- f) Historical Resources for the purposes of CEQA other than those listed a), b) or c) above, including California Register-eligible (per State Historical Resources Commission determination) resources, resources identified as significant in surveys that meets Office of Historic Preservation (OHP) standards, resources that are designated landmarks under local ordinances, and resources that Caltrans has determined meet the California Register criteria as outlined in [PRC 5024.1](#), referring to relevant attached supporting documentation
- g) Resources that Caltrans has determined are not significant resources under CEQA, referring to relevant attached supporting documentation

To facilitate entry into computerized inventories, the identifying information for the resources needs to follow a specific format that includes the name of a property (if there is one), location information, the community the resources is in (or the nearest community), the OHP historical resources status code if available, and the Map Reference number that is keyed to the Project Area map. For example:

The following resources appear to eligible for inclusion in National Register and are historical resources under CEQA:

Name	Address/Location	Community	OHP Status Code	Map Ref. #
Smith House	451 Main St.	Anywhere, CA	2	(MR #1)
Ortega Feed Store	10097 Highway 4	Anywhere (vic.), CA	2	(MR #5)

None of the following resources appear to be eligible for inclusion in the National Register and are not historical resources under CEQA:

Name	Address/Location	Community	OHP Status Code	Map Ref. #
Able's Prune Packing Plant	35 E. Canterbury St.	Someplace, CA	6	(MR #1)
Melville Apts.	8012 S. Oceanview Dr.	Someplace, CA	6	(MR #5)
Little River RR Viaduct	Along SR 43, KP 10.7	El Nido, CA	6	(MR #18)

The following state-owned buildings and structures are eligible for inclusion in the National Register and to be included in the Master List of Historical Resources (Master List) pursuant to [PRC §5024\(d\)](#):

Name	Address/Location	Community	OHP Status Code	Map Ref. #
Edelberg House	496 Main St.	Anywhere, CA	4	(MR #25)
Summit Maintenance Stn	10099 Highway 4	Anywhere (vic.), CA	4	(MR #6)

Repeat for resources that are historical resources for the purposes of CEQA, and those that are not historical resources for purposes of CEQA because they do not meet the California Register criteria.

Do not list properties that meet the criteria for [Section 106 PA Attachment 4](#) (Properties Exempt from Evaluation). Instead include the appropriate paragraph as follows:

[Name of Caltrans architectural historian], who meets the Professionally Qualified Staff Standards in Section 106 PA Attachment 1 as an Architectural Historian or above, has reviewed the project's Project Area and confirmed that the only other properties present

within the Project Area, including state-owned resources, meet the criteria for Section 106 PA Attachment 4 (Properties Exempt from Evaluation).

[Name of Caltrans archaeologist], who meets the Professionally Qualified Staff Standards in Section 106 PA Attachment 1 as a Lead Archaeological Surveyor or above, has reviewed the project's Project Area and confirmed that the only other properties present within the Project Area meet the criteria for Section 106 PA Attachment 4 (Properties Exempt from Evaluation).

Provide the site trinomial (e.g., CA-Tri-433) for each archaeological site covered in the document. Temporary numbers are not acceptable.

The [OHP Historical Resources Status Codes](#) (OHP Technical Assistance Bulletin #8) were revised in August 2003. Among other changes California Register listings and eligibility determinations have been added as extensions to the code. For example "1S" means a historic property individually listed in the National Register and also listed in the California Register, while "1CS" means a property individually listed in the California Register only. As of August 2003 status code "4" applies only to state-owned resources that are included in the Master List through the PRC §5024 compliance process.

Provide a *brief* summary of eligible cultural resource that includes:

- Historic and/or common name and map reference number. Add the bridge number if the resource is a bridge or the Trinomial if the resource is a historical archaeological site.
- Address or location (unless it is an historical archaeological site for which the location is confidential)
- Applicable National Register and California Register criteria with a phrase that describes how the resource meets the identified criteria. If the resource is also a National Register criteria consideration or California Register criteria consideration, include the appropriate "consideration" letter or number.
- Period of significance
- Level of Significance (local, state, national)
- Rough boundary descriptions
- Contributing and noncontributing elements

IMPACT STATEMENT

For each resource assessed as significant, describe the impact of the project on the resource. Quantify physical impacts to the extent possible. The appropriate Caltrans or consultant PQS should prepare the analysis. See [Section 106 PA Attachment 1](#), and Chapter 1 [Sections 1-3.3](#) and [1-3.4](#). The federal Criteria of Adverse Effect ([36 CFR §800.5](#)) provides useful guidance for categorizing the impact of the project on historical resources. See also [Chapters 2](#) and [7](#) for discussions of impacts to historical resources.

State whether the impacts can be mitigated below the level of significant impact (no substantial adverse change) by using the *Secretary of the Interior's Standards for the [Treatment of Historic Properties](#) With Guidelines for Preserving, Rehabilitating, Restoring & Reconstructing Historic Buildings (Standards)*.

If the only activity under consideration is maintenance, repair, stabilization, rehabilitation, restoration or preservation of a historical resource and the work is done according to the *Standards*, state that the project is considered a [Class 31 project](#) and is categorically exempt from CEQA. To ensure these activities are consistent with these standards, they must be reviewed by, or under the direct supervision of, a Principal Architectural Historian who has the requisite education and experience as outlined in Chapter 1 [Section 1-3.3](#).

MITIGATION PLAN

In this section, appropriate Caltrans or consultant PQS preparing the HRCR need to describe the mitigation that is planned to offset project impacts on each historical resource. For archaeological sites, summarize the data recovery to be performed. When mitigation plans are not yet complete, describe a range of suitable mitigation options (e.g., moving or demolishing structure), from which final mitigation will be selected.

For state-owned historical buildings and structures Caltrans needs to include proposed measures that are prudent and feasible and that would avoid or mitigate adverse effects to those resources (PRC §5024 uses the term “effect” while CEQA uses the term “impact”). If there is no prudent and feasible alternative, provide justification that details why this is the case. Indicate the level of consultation with the State Historical Building Safety Board, in applying the California State Historical Building Code to reduce impacts to the resource(s), as well as the outcome of the consultation.

ATTACHMENTS

Include, at minimum, the Project

- Location map
- Vicinity map, and
- Project Area map

The Project Area map should illustrate the proposed project, upon which the Project Area limits have been delineated. If no cultural resources are present the Project Area map needs to be of sufficient scale to document the Project Area.

If cultural resources are present, the Project Area map needs to be of sufficient scale (200' scale is preferred) and have enough project detail to demonstrate the relationship of historic properties to the proposed project, especially important because the HRCR also serves as the mitigation document for the project. Clearly show the Project Area, the location of all resources discussed, the boundaries of any eligible or listed historical resources, and the boundaries of any ESAs used. Include a scale and north arrow.

a) Photographs and Other Exhibits

Include other appropriate exhibits. Good clear exhibits and graphics are invaluable to demonstrate the arguments and decisions discussed made in the text.

Photos and graphics that illustrate the following can enhance the HRCR's effectiveness as a consultation tool:

- Integrity (or lack thereof) of cultural resource(s)
- Integrity of setting for cultural resource(s),
- Engineering plans,
- Profiles and cross-sections that show the actual limits of project impacts.

b) Appendices

Include, as appropriate:

- Archaeological Survey Report, Extended Survey Report
- Historic Resource Evaluation Report
- Bridge Evaluation

- Test Excavation Report
- Letters from historical societies, Native American groups, local governments, and other special interest groups, the SHPO, etc.

PEER REVIEW

Caltrans PQS at the appropriate discipline and level need to peer review the draft HRCR following the guidelines in [Exhibit 2.14](#): Guidelines for Peer Review of Cultural Resources Reports. Peer reviewers' names should be kept on record and comments retained in the project files. Transmittal memos may also name the peer reviewers.

HRCR SHORT FORM – NO CULTURAL RESOURCES

Caltrans uses the HRCR short form when there are *no* historical resources, as defined by CEQA, within the Project Area. If SHPO has previously concurred that a resource is not National Register eligible, attach the SHPO letter. Appropriate technical studies (ASR, HRER, Caltrans Historic Bridge Inventory sheet, etc.) needs to be attached to the HRCR short form. If the resources qualify as exempt as described in [Section 106 PA Attachment 4](#), the appropriate box in the Findings section of the short-form is checked.

Caltrans PQS or a qualified consultant prepares the HRCR. Caltrans PQS reviews the HRCR for approval. Only the DEBC may approve the HRCR. The Caltrans or consultant who prepares the HRCR signs, dates and includes his/her appropriate her PQS discipline and level and District/Headquarters or affiliation. The Caltrans PQS reviewing the HRCR for approval likewise signs, dates, and includes his/her appropriate PQS discipline, level and District/Headquarters affiliation. The DEBC approves the HRCR by signing and dating the form.

The PQS preparer, the Caltrans PQS and DEBC-approval signatures on the form complete the cultural resources portion of CEQA compliance. The document is filed in the district office and a summary of the HRCR findings is included in the appropriate CEQA environmental documentation. If state-owned resources are within the Project Area, the district Heritage Resources Coordinator (HRC) transmits a copy of the HRCR to the Chief, Built Environment Preservation Services Branch (BEPS) in CCSO; this is required to demonstrate compliance with PRC §5024. The document may be transmitted electronically.

HRCR—CULTURAL RESOURCES PRESENT

Caltrans PQS or qualified consultants may prepare either the HRCR short form or prepare a narrative version when there are historical resources for the purposes of CEQA situated within the Project Area. If the project or activity is large or complex, the project area contains a large number of resources, or the proposed project is controversial, a narrative HRCR format should be prepared instead of using the short-form version. If SHPO has previously concurred on National Register eligibility or ineligibility, attach the SHPO letter. Appropriate technical studies (ASR, HRER, Caltrans Historic Bridge Inventory sheet, etc.) needs to be attached to the HRCR. If the resources qualify as exempt as described in Section 106 PA Attachment 4, the appropriate box in the Findings section of the short-form is checked or is described in the Findings section of the narrative HRCR.

Although Caltrans PQS or a consultant PQS may prepare the HRCR, only Caltrans PQS reviews the HRCR for approval, which the DEBC approves.

The Caltrans or consultant who prepares the HRCR signs, dates and includes his/her appropriate her PQS discipline and level and District/Headquarters or affiliation. The Caltrans PQS reviewing the HRCR for approval likewise signs, dates, and includes his/her appropriate PQS discipline, level and District/Headquarters affiliation. The DEBC approves the HRCR by signing and dating the form.

The PQS preparer, the Caltrans PQS and DEBC-approval signatures on the form complete the cultural resources portion of CEQA compliance, except when state-owned historical buildings or structures are located within the Project Area. If there are no state-owned historical resources within the Project Area, the document is filed in the district office and a summary of the HRCR findings is included in the appropriate CEQA environmental documentation.

STATE-OWNED HISTORICAL RESOURCES IN HRCR

If state-owned historical resources are within the Project Area, the DEB transmits a copy of the HRCR to the SHPO notifying SHPO of the project, providing the summary documentation in the HRCR requesting SHPO's review and comment under the appropriate sections of PRC §5024. If the resource has not already been added to the Master List, Caltrans also requests that SHPO do so, pursuant to [PRC §5024\(b\)](#).

The district HRC notifies and transmits a copy of the HRCR to the BEPS Chief in CCSO. This is required to demonstrate compliance with PRC

§5024. The notification and document may be transmitted electronically to the BEPS Chief.

An example of the HRCR short form template appears on the following pages. Because the form is flexible - text can be added and inapplicable statements may be deleted - the section headings and text in the template below may not appear on the same pages as a completed HRCR.

HISTORICAL RESOURCES COMPLIANCE REPORT

State of California Business, Transportation and Housing Agency

Department of Transportation

1. PROJECT / ACTIVITY DESCRIPTION AND LOCATION

District	County	Route	Kilo Posts	Post Miles	Charge Unit	Expenditure Authorization

(Both kilometer posts and post miles must be completed above.)

Project Description: (Insert project description below; refer reader to location and vicinity maps in HRCR)

2. PROJECT AREA LIMITS

The Project Area Limits for the project were established in consultation with _____ [name of Caltrans PQS], _____ [PQS discipline/level], and _____ [name of project manager/local assistance engineer], Project Manager, on _____ [date]. The Project Area Limits maps are located _____ [specify technical study, figure or exhibit number] in this Historical Resources Compliance Report (HRCR).

The Project Area Limits were established as _____ [add brief description as to where and how boundaries were set].

3. CONSULTING PARTIES / PUBLIC PARTICIPATION

(For the following, check the appropriate line, list names, dates, and locations and results of contacts, as appropriate. List organizations/persons contacted and attach correspondence and summarize verbal comments received as appropriate. Consulting parties that are not applicable may be deleted)

- ☐ Local Government (Head of local government, Preservation Office / Planning Department)
 - ☐
- ☐ Native American Tribes, Groups and Individuals
 - ☐
- ☐ Native American Heritage Commission
 - ☐
- ☐ Local Historical Society / Historic Preservation Group (also if applicable, city archives, etc.)
 - ☐
- ☐ Public Information Meetings (list locations, dates below and attach copies of notices)
 - ☐
- ☐ Other
 - ☐

4. SUMMARY OF IDENTIFICATION EFFORTS

- ☐ National Register of Historic Places Month & Year: 1979-2002 & supplements
- ☐ California Register of Historical Resources Year: 1992 & supplemental information to date
- ☐ California Inventory of Historic Resources Year: 1976
- ☐ California Historical Landmarks Year: 1995 & supplemental information to date
- ☐ California Points of Historical Interest Year: 1992 & supplemental information to date
- ☐ State Historic Resources Commission Year: 1980-present, minutes from quarterly meetings
- ☐ Caltrans Historic Highway Bridge Inventory Year: 2003 & supplemental information to date
- ☐ Archaeological Site Records [List names of Institutions & date below]
 - ☐
- ☐ Other sources consulted [e.g., historical societies, city archives, etc. List names and dates below]
 - ☐
- ☐ Results: (provide a brief summary of records search and research results, as well as inventory findings)
 - ☐

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5. EXEMPT / No CEQA RESOURCES IDENTIFIED

(Check all that apply. Statements that are not applicable may be deleted)

- **No cultural resources** in the Project Area Limits.
- The **only cultural resources** present within the Project Area Limits **are exempt from evaluation** because they meet the criteria set forth in the Section 106 Programmatic Agreement (Section 106 PA) Attachment 4 (Properties Exempt from Evaluation) and do not meet any criteria outlined in CEQA Guidelines §15064.5(a):
 - [Name], *[Indicate whether person is Caltrans or consultant architectural historian or archaeologist]*, who meets the Professionally Qualified Staff Standards in Section 106 Programmatic Agreement (Section 106 PA) Attachment 1 as a(n) *[Indicate applicable PQS level]*, has determined that the only other resources present within the Project Area Limits meet the criteria for Section 106 PA Attachment 4 (Properties Exempt from Evaluation).
 - Bridges listed as Category 5 in the Caltrans Historic Highway Bridge Inventory and are not locally designated or otherwise identified as significant in a local survey meeting SHPO standards. Appropriate pages from the Caltrans Historic Bridge Inventory are attached.
- The following resources within the Project Area Limits **were previously determined not eligible** for National Register inclusion, previously determined not to meet California Register eligibility criteria, and/or **previously determined not to be historical resources for purposes of CEQA** as outlined in CEQA Guidelines §15064.5(a)(1) - (3) *(include date of determination; identified State-owned cultural resources as such)*:
 -

6. HISTORICAL RESOURCES IDENTIFIED

(Check the appropriate category, list resources, or refer reader to appropriate technical study attached, according to their National Register or CEQA status. Provide, as appropriate, complete address, period and level of significance, criteria, map reference, and any existing state or local designation, including date; identify State-owned resources as such. Do not include resources that are not within the Project Area Limits. Attach previous SHPO determinations, as applicable. Findings that are not applicable may be deleted)

- Caltrans, pursuant to CEQA Guidelines §15064.5(a)(1) – (4), evaluated the following resources within the Project Area Limits and has determined that they are **not historical resources for purposes of CEQA**:
 -
- Caltrans, pursuant to PRC §5024, has evaluated the following **State-owned buildings and structures** within the Project Area Limits and determined that they **do not meet the National Register and/or State Historical Landmark eligibility criteria**:
 -
- Caltrans, pursuant to CEQA Guidelines §15064.5(a), evaluated the following resources within the Project Area Limits and determined that they **do not meet National Register criteria but are historical resources for purposes of CEQA** because they: are listed in the California Register or were determined eligible by the State Historical Resources Commission [§15064.5(a)(1)], are included in a local register or identified as significant in a local survey meeting OHP standards [§15064.5(2)], or Caltrans, as the lead agency, has determined that they meet the criteria for listing in the California Register [§15064.5(a)(3) - (4)]:
 -

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- Caltrans has determined that the following **archaeological sites shall be considered eligible for the National Register and/or the California Register** without conducting subsurface testing or surface collection within the Project Area Limits, for which the **establishment of an ESA** will protect the sites from any potential effects. See attached documentation.
 -
- The following resources within the Project Area Limits were **previously listed or determined eligible for inclusion in the National Register**, were automatically listed in the California Register and **are significant resources for the purposes of CEQA**, pursuant to CEQA Guidelines §15064.5(a)(1) (*include date of listing or determination*):
 -
- Caltrans, pursuant to CEQA Guidelines §15064.5(a)(3) – (4), evaluated the following resources within the Project Area Limits and determined that they **meet National Register and the California Register criteria and are historical resources for purposes of CEQA**:
 -
- Caltrans has evaluated the following **State-owned historical buildings and structures** within the Project Area Limits, meet the National Register and/or State Historical Landmark criteria and **are to be added to the Master List**, per PRC §5024(b) and (d):
 -

7. CEQA IMPACT FINDINGS

(Check all that apply. Do not transmit to SHPO unless there are State-owned resources within the Project Area Limits; file copy to CCSO. Findings that are not applicable may be deleted)

- Caltrans has determined a **finding of no impact** is appropriate because there are no historical resources within the Project Area Limits, or there are no impacts to historical resource(s), pursuant to CEQA Guidelines §15064.5(b)(3).
- Caltrans has determined a **finding of no substantial adverse change - ESAs**, because the impacts to the following historical resources within the Project Area Limits will be mitigated to below the level of significance by using the *Secretary of the Interior's Standards for the Treatment of Historic Properties With Guidelines for Preserving, Rehabilitating, Restoring & Reconstructing Historic Buildings (Standards)* pursuant to CEQA Guidelines §15064.5(b). Establishment of Environmentally Sensitive Areas (ESA), enforcement measures and conditions that utilize the *Standards* are included in the attached documentation. (*Include description of ESAs and enforcement measures below; attach ESA Action Plan as appropriate.*)
 -
- Caltrans has determined a **finding of no substantial adverse change – rehabilitation / repair / maintenance / transfer with covenants** because the impacts to the following historical resources within the Project Area Limits will be mitigated below the level of significant impact by using the *Secretary of the Interior's Standards for the Treatment of Historic Properties With Guidelines for Preserving, Rehabilitating, Restoring & Reconstructing Historic Buildings (Standards)*, pursuant CEQA Guidelines §15064.5(b). [Name], who meets the Professionally Qualified Staff Standards in Section 106 PA Attachment 1 as Principal Architectural Historian, and has the appropriate education and experience, has reviewed the documentation and determined that the measures meets the *Standards*. (*Include description of rehabilitation below or indicate below the title of the HRCR attachment that contains the description.*)
 -
- Caltrans has determined that the project is a **Class 31 project and is categorically exempt** because the project is limited to maintenance, repair, stabilization, rehabilitation, restoration, conservation or reconstruction of the following historical resources in a manner consistent with the *Secretary of the Interior's Standards for the Treatment of Historic Properties With Guidelines for Preserving, Rehabilitating, Restoring & Reconstructing Historic Buildings*, pursuant to CEQA Guidelines §15331. See attached documentation.

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- Caltrans has determined that a **finding of substantial adverse change to historical resources** is appropriate for this project, pursuant to CEQA Guidelines §15064.5(b) because the project will cause adverse change to the following historical resources within the Project Area Limits. See attached documentation.
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8. MITIGATION PLAN

(List the impacted historical resource and describe its mitigation plan below or indicate below the title of the HRCR attachment that contains the description. Archaeological sites: summarize proposed data recovery. For mitigation plans that are not complete, describe the range of suitable mitigation options.)

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-
-
-

9. FINDINGS FOR STATE-OWNED HISTORICAL RESOURCES

(Check all that apply. Copy to CCSO. Transmit to SHPO if State-owned buildings or structures were evaluated or there are State-owned historical resources within the Project Area Limits. Findings that are not applicable may be deleted)

- Caltrans has determined that there are **no State-owned historical resources** within the Project Area Limits.
- Caltrans has determined that **none of the State-owned buildings and structures** within the Project Area Limits **meets National Register and/or State Historical Landmark eligibility criteria**.
-
- Caltrans has that the following **State-owned buildings and structures** within the Project Area Limits **do not meet National Register and/or State Historical Landmark eligibility criteria**.
-
- Caltrans has determined that the following **State-owned buildings and structures previously included in the Master List of Historical Resources** are within the Project Area Limits.
-
- Caltrans has determined that the following **State-owned buildings and structures** that meet **National Register and/or the State Historical Landmarks eligibility criteria** are within the Project Area Limits and requests that SHPO add these resources to the Master List of Historical Resources pursuant to PRC §5024(d).
-
- Caltrans has determined that this project will have **no effect/no adverse effect** on the following **State-owned archaeological sites, objects, districts, landscapes** within the Project Area Limits that meet National Register and/or State Historical Landmarks eligibility criteria. Caltrans is providing notice and summary of this determination to SHPO pursuant to PRC §5024(f). *(Indicate reference to CEQA Impact – ESA above, or include description of proposed treatments, ESAs, protective covenants, etc., below or indicate below which HRCR attachment contains the description.)*
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-
- Caltrans has determined that this project will have **no effect** on **State-owned buildings and structures** within the project limits that meet National Register and/or State Historical Landmarks eligibility criteria and is providing notice and summary of this determination to SHPO pursuant to PRC §5024(f).
- Caltrans has determined that this project will have **no adverse effect** on **State-owned buildings and structures** within the project limits that meet National Register and/or State Historical Landmarks eligibility criteria. *[Name of Caltrans PQS], [applicable PQS discipline/level]* has reviewed the documentation and determined that it meets the *Secretary of the Interior's Standards for the Treatment of Historic Properties*. Caltrans is providing notice and summary of this determination to SHPO pursuant to PRC §5024.5. *(Indicate reference to CEQA Impact – rehabilitation above, or include description of proposed repairs, rehabilitation, ESAs, protective covenants, etc., below or indicate below, which HRCR attachment contains the description.)*
-
- Caltrans has determined that this project will have an **adverse effect** to **State-owned archaeological sites, objects, districts, landscapes** within the Project Area Limits that meet National Register and/or State Historical Landmarks eligibility criteria and is providing notice and summary of this determination to SHPO pursuant to PRC §5024(f). *(Include below a description of alternatives considered and proposed mitigation measures, or indicate below which HRCR attachment contains the description.)*
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- Caltrans has determined that this project will have an **adverse effect** on the following **State-owned buildings and structures** within the Project Area Limits that meet National Register and/or State Historical Landmarks eligibility criteria. Caltrans is providing notice and summary of this determination to SHPO pursuant to PRC §5024.5. *(Include below a description of alternatives considered and proposed mitigation measures, or indicate below which HRCR attachment contains the description.)*
-
- For **State-owned qualified historical buildings and properties** within the Project Area Limits, Caltrans has **applied the California Historical Building Code (CHBC)** to relevant sections of the current code(s) and/or standards and, if applicable, has consulted with the State Historical Building Safety Board (SHBSB) through its Executive Director pursuant to Health and Safety Code Section 18961 and its implementing regulations at California Code of Regulations Title 24 Part 8 Section 8-103.2. *[Indicate below whether use of current code(s) and standards adversely affected character-defining features of the property and describe the alternative solutions under the CHBC, or indicate below which HRCR attachment contains the description. If applicable, attach copies of correspondence with the SHBSB or its Executive Director.]*
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10. LIST OF ATTACHED DOCUMENTATION

(Provide the author/date and peer reviewer/date of the technical report. Documentation that is not applicable may be deleted)

- Project Vicinity, Location, and Project Area Limits Maps
- California Historic Bridge Inventory sheet
- Historical Resources Evaluation Report (HRER)
-
- Archaeological Survey Report (ASR)
-
- Archaeological Evaluation Report (CARIDAP, XPI, PII, PIII)
-
- Other *(Specify below)*
-

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HISTORICAL RESOURCES COMPLIANCE REPORT

State of California Business, Transportation and Housing Agency

Department of Transportation

11. HRCR PREPARATION AND DEPARTMENT APPROVAL

Prepared by (*sign on line*):

District ____ Caltrans

[Name]

Date

PQS/Generalist:

[PQS level and discipline]

Prepared by: (*sign on line*)

Consultant / discipline:

[Name]

Date

[PQS level and discipline]

Affiliation

[Firm/company and location]

Reviewed for approval by: (*sign on line*)

District ____ Caltrans PQS discipline/level:

[Name]

Date

[PQS certification level]

Approved by: (*sign on line*)

District ____ EBC:

[Name]

Date

[Environmental Branch name]

HRCR form: 0305]

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